

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
YAFO-007

In Re Application Of: **DAMASK, Jay N.**

Serial No.
09/911,898

Filing Date
July 24, 2001

Examiner
Connelly-Cushwa, Michelle

Group Art Unit
2874

Title: **Polarization Mode Dispersion Generator**

Address to:
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

37 CFR 1.97(b)

1. ☐ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☒ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☒ the fee set forth in 37 CFR 1.17(p).

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Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☒ A check in the amount of **\$180.00** is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. **50-0763** as described below.
- ☐ Charge the amount of
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

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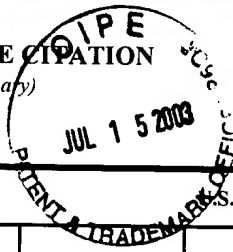
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U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		4,341,442	07/27/1982	Johnson			
		5,430,795	07/04/1995	Taga et al.			
		5,473,457	12/05/1995	Ono			
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	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
		WO 99/53363 A2	10/21/199	PCT				
		WO 00/03505 A1	01/20/2000	PCT				
		WO 00/13056 A2	03/09/2000	PCT				
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		Betti et al., "Phase Noise and Polarization State Insensitive Optical Coherent Systems," Journal of Lightwave Tech., Vol. 8, No. 5, at 756-76 (May 1990)
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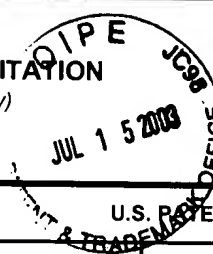
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	5,978,125	11/02/1999	Yao			
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						YES	NO
	WO 01/40831	06/07/2001	PCT				
	WO 01/48957	07/05/2001	PCT				
	WO 01/61303	08/23/2001	PCT				
	WO 01/61385	08/23/2001	PCT				

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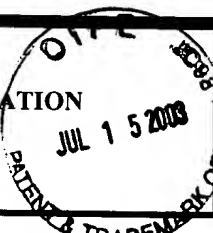
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✓	Bulow, "Limitation of Optical First-Order PMD Compensation," OFC/IOOC '99 Technical Digest, Vol. 2, at 74-76 (February 1999)
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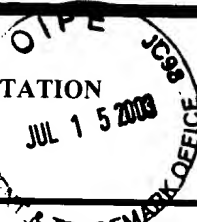
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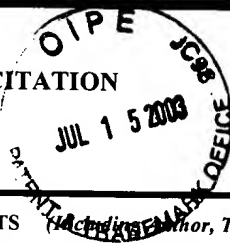
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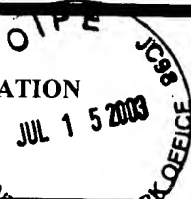
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